

CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Transmittal 1793	Date: February 8, 2017
	Change Request 9560

Transmittal 1756, dated November 18, 2016, is being rescinded and replaced by Transmittal 1793, dated, February 8, 2017 to update BR 9560.6 to specify the actual date the analysis paper is due. All other information remains the same.

SUBJECT: Analysis Only - Modification of Process for Handling the Provider Enrollment Chain Ownership System (PECOS) Extract File

I. SUMMARY OF CHANGES: The purpose of this Change Request (CR) is to instruct the Fiscal Intermediary Shared System (FISS) maintainer to conduct analysis to determine the changes needed for the FISS system to update the provider file and/or crosswalk files based on the PECOS "golden match" when it is received from PECOS. The analysis shall also include accepting and using manual match information from the National Provider Identifier (NPI) contractor.

EFFECTIVE DATE: April 1, 2017

**Unless otherwise specified, the effective date is the date of service.*

IMPLEMENTATION DATE: April 3, 2017

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	N/A

III. FUNDING:

For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

One Time Notification

Attachment - One-Time Notification

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SUBJECT: Analysis Only - Modification of Process for Handling the Provider Enrollment Chain Ownership System (PECOS) Extract File

EFFECTIVE DATE: April 1, 2017

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IMPLEMENTATION DATE: April 3, 2017

I. GENERAL INFORMATION

A. Background: In a continued effort to standardize processes within the Medicare Shared Systems, the Fiscal Intermediary Shared System (FISS) maintainer shall conduct the analysis to determine the effort needed to modify the process in which the internal crosswalk file can be updated from the nightly PECOS extract file.

Currently, the FISS shared system maintainer (SSM) receives the nightly PECOS extract file and sends the National Provider Identifier (NPI) combinations from the PECOS file for a particular Online Survey Certification and Reporting System (OSCAR) number to the NPI crosswalk contractor. The NPI crosswalk contractor sends FISS back an NPI crosswalk file with the NPI/OSCAR combinations. The FISS system will then apply those updates to the internal crosswalk files.

This process has been problematic when the information from the NPI crosswalk contractor is not accurate. The other SSMs are updating the data from PECOS and only sending the ones needing a manual match to the NPI crosswalk contractor. The analysis being conducted with this change request (CR) will provide the information needed for a future CR to modify the processing of the nightly PECOS extract file standardizing this process for all the SSMs.

B. Policy: This CR contains no new policy or changes to existing policy.

II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

Number	Requirement	Responsibility										
		A/B MAC		D M E	Shared- System Maintainers				Other			
		A	B		H H H	M A C	F I S S	M C S		V M S	C W F	
9560.1	The FISS maintainer shall conduct analysis to update the FISS internal crosswalk file based on the PECOS "golden match" from the daily PECOS extract file.					X						
9560.2	The FISS maintainer shall conduct analysis to ensure that with the updates of the PECOS "golden matches"					X						

Number	Requirement	Responsibility								
		A/B MAC		H H H	D M E M A C	Shared- System Maintainers				Other
		A	B			F I S S	M C S	V M S	C W F	
	from the daily PECOS extract file that the FISS shall continue to update its internal crosswalk file based on the NPICS manual matches.									
9560.3	The FISS maintainer shall conduct analysis on the changes needed with the elimination of the weekly NPI crosswalk full replacement file and to discontinue running the weekly jobs for the NPI crosswalk file.					X				
9560.4	Contractors shall allow for up to four (one hour calls) with CMS, FISS and PECOS to allow for open conversation and questions that arise during analysis. The first call will be scheduled the week of November 28th to address any questions related to the PECOS document. Subsequent calls would be scheduled as needed when requested by the FISS maintainer.					X			CMS, NPI Crosswalk Contractor, PECOS	
9560.5	The FISS maintainer shall conduct the analysis on the effort needed to modify the processing of the PECOS extract file that will contain historical data.					X				
9560.5.1	PECOS shall document how the historical data should be utilized in the processing of the PECOS extract file by November 18, 2016.								PECOS	
9560.6	The FISS maintainer shall submit an analysis paper, by 2/23/17, documenting any additional questions from the policy document, and identifying the design that will allow the FISS system to implement the items specified in BRs 1, 2, 3 and 5. The analysis paper shall be sent to the following: CMS - FED FISS distribution Bonnie.Hockaday@cms.hhs.gov Sandhya.Mathur@cms.hhs.gov					X				

III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility				
		A/B MAC			D M E	C E D I
		A	B	H H H		
	None					

IV. SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements: N/A

"Should" denotes a recommendation.

X-Ref Requirement Number	Recommendations or other supporting information:

Section B: All other recommendations and supporting information: N/A

V. CONTACTS

Pre-Implementation Contact(s): Bonnie Hockaday, 410-786-4122 or Bonnie.Hockaday@cms.hhs.gov , Richard Kociszewski, 410-786-7615 or Richard.Kociszewski@cms.hhs.gov

Post-Implementation Contact(s): Contact your Contracting Officer's Representative (COR).

VI. FUNDING

Section A: For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

ATTACHMENTS: 0